



# ALABAMA STATE UNIVERSITY

P. O. BOX 271 • MONTGOMERY, ALABAMA 36101-0217 • 334 / 229-4223, FAX 334 / 229-4948

VICE PRESIDENT FOR BUSINESS AND FINANCE

## **America Rescue Plan Act, 2021(ARPA) Act and CRRSAA \*\* Quarterly Report\*\* As of September 30, 2021**

Grant Award Number	P425E203477
Budget Period	12/27/2020 – 6/2/2022(CRRSAA) ---6/2/2021-6/2/2022(ARP)
Federal Funding Period	05/04/2020 – 6/2/2022
Current Award Amount	\$3,142,232.00(CRRSAA) and \$9,490,815(ARP)

### **Reporting requirements**

The Department encourages institutions to report as soon as possible, but no later than 30 days after the publication of this notice or 30 days after the date the Department first obligated funds under HEERF I, II, or III to the institutions for Emergency Financial Aid Grants to Students, whichever comes later. The following information must appear in a format and location that is easily accessible to the public. This information must appear in a format and location that is easily accessible to the public. This information must also be updated no later than 10 days after the end of each calendar quarter (September 30, and December 31, March 31 and June 30).

1) Since the University was awarded Emergency Financial Aid Grants to Students in previous Cares Grants, we did not have to sign an additional Certification and Agreement. The previous agreement moved forward with this grant and the appropriate funding was added to the existing portal.

2) The University was awarded \$9,490,815 to provide Emergency Financial Aid Grants to Students under the American Rescue Plan Act and \$3,142,232 under CRRSAA.

3) At the time of this quarterly report, under the American Rescue Plan Act, there has been \$294,244.80 disbursed to students who meet the University's exceptional needs criteria. The final disbursement under CRRSAA has been made in the amount of \$70,017.60 which brings the total amount disbursed to students to \$3,142,231.03.

4) The estimated eligible population for the American Rescue Plan Act is 3,951 students. The estimated eligible population under final disbursement for CRRSAA was 623 students. The initial estimated eligible population was 3,115 students.

5) To date, 578 students have received disbursements from the American Rescue Plan Act. Under CRRSAA, 3,115 students have received funding to date.

6) The criteria for the American Rescue Plan is as follow:

1. Enrolled at Alabama State University on or after March 13, 2020
2. Eligible to receive one of the following need based federal programs to demonstrate exceptional need.
  - Pell Grant-Undergraduate students
  - Federal Supplemental Opportunity Grant (FSEOG)-Undergraduate students
  - Federal Workstudy (FWS)-Undergraduate and Graduate
  - Satisfactory Academic Progress (SAP) who would otherwise be eligible based on the above criteria
3. Emergency costs that arise due to coronavirus such as tuition, food, housing, health care and child care to be determined by the student.
4. Priority will be given to domestic undergraduate students by allocating funds to domestic Pell and FSEOG recipients. Then, work study recipients whether graduate or undergraduate not covered by the Pell and FSEOG requirements will be assisted.

#### **A. Priority Guidelines**

Priority will be given to exceptional need by one of the following categories.

1. Eligible to receive Pell, FSEOG, or Federal Work study.
2. Inability to pay outstanding balances as a result of hardships caused by Coronavirus and eligible for Pell, FSEOG or FWS as indicated in the eligibility requirements stated in section A number 2.
  - Amount may vary depending on need.
  - The amount to be determined by Student Accounts.
  - Written Authorization required from the student to apply funds to their balance.
  - Failure to authorize funds to be applied to the students balance does not constitute ineligibility to receive funds.

The University divided the amount awarded to the institution, \$9,490,815 by the initial estimated eligible population which was 3,951 students which yielded an estimated amount for each potential eligible student of \$2,402. The amount may vary among the population depending on need but will not exceed \$2,402.

The eligibility requirements for the initial eligible population for CRRSAA were as follows:

- Students enrolled in classes as of February 26, 2021 with a FAFSA on file
- Students enrolled in classes as of February 26, 2021, who have not filed a FAFSA if they meet the following criteria:
  - US citizen or eligible noncitizen
  - A valid social security number
  - Registration with selective service(if male)
  - High School Diploma, GED, or completion of high school in an approved home setting
  - Enrolled in and attended “for credit classes”
  - Enrolled at ASU on February 26, 2021

The eligibility requirements for the final disbursement for CRRSAA was as follows:

- Students enrolled in classes as of February 26, 2021 with a FAFSA on file and enrolled or registered in Summer 2021 2021 courses as of July 8, 2021.
- Students enrolled in classes as of February 26, 2021, who did not file a FAFSA but previously completed the certification titled “*ASU CRRSAA Program for Students that did not file a FAFSA*” and meet the following criteria which includes one additional criteria from the previous disbursement:
  - US citizen or eligible noncitizen
  - A valid social security number
  - Registration with selective service(if male)
  - High School Diploma, GED, or completion of high school in an approved home setting
  - Enrolled in and attended “for credit classes”
  - Enrolled at ASU on February 26, 2021
  - Enrolled or registered in Summer 2021 courses as of July 8, 2021 with an outstanding balance due to the University.

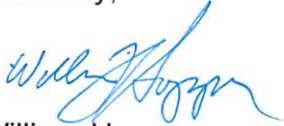
7) The attachments below includes all communication that has been sent to student body regarding CRRSAA as well as the American Rescue Plan Act.

Source documents:

- Recipient’s Certification and Agreement (Gold C&A) under the Coronavirus Response and Relief Supplement Appropriation Act 2021(CRRSAA).
- CRRSAA Frequently Ask Questions
- Letter to the students from the President
- ASU CRRSAA Program for Students that did not file a FAFSA
- CRRSAA Students Final Notice Letter
- CRRSAA Final Disbursement
- Recipient’s Certification and Agreement (Gold C&A) under the Coronavirus Response and Relief Supplement Appropriation Act 2021(CRRSAA).
- American Rescue Plan Act of 2021 Supplemental Agreement(ALN 84.425E) (a)(1) Student Aid Portion
- Letter to the Students Regarding the American Rescue Plan Act

- Revised Letter to the Students Regarding the American Rescue Plan Act
- Eligibility Criteria for the American Rescue Plan Act

Sincerely,



William Hopper,  
Vice President for Business and Finance

**Coronavirus Response and Relief Supplemental Appropriations Act, 2021**

Certification and Agreement (CFDA 84.425E) (10/28/21) Student Aid Partners

**PUBLIC AND NONPROFIT INSTITUTION GRANT FUNDS FOR STUDENTS**

The terms, conditions, and requirements governing your institution's (Recipient's) use of these grant funds awarded pursuant to section 314(a)(1) of the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA) (Pub. L. 116-260) (award or grant) by the U.S. Department of Education (Department) are governed by section 314 of the CRRSAA and the following terms and conditions of this Certification and Agreement (C&A):

**Use of Grant Funds:**

1. Section 314(d)(5) of the CRRSAA requires Recipient, an institution of higher education as defined in section 101 or 102(c) of the Higher Education Act of 1965, as amended (HEA), 20 USC § 1001 or 1002(c), to provide at least the same amount of funding in financial aid grants to students as was required to be provided under sections 18004(a)(1) and (c) of division B of the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) (Pub. L. 116-136). The amount of funds made available by this award under CFDA 84.425E represents the minimum amount that Recipient must use for financial aid grants to students.
2. Under section 314(c)(3) of the CRRSAA, Recipient must make financial aid grants to students (including students exclusively enrolled in distance education), which may be used for any component of the student's cost of attendance or for emergency costs that arise due to coronavirus, such as tuition, food, housing, health care (including mental health care) or child care.
3. Recipient acknowledges that it retains discretion to determine the amount and availability of each individual financial aid grant consistent with all applicable laws, including non-discrimination laws. Recipient further acknowledges that under section 314(c)(3), it must prioritize grants to students with exceptional need, such as students who receive Pell Grants. However, students do not need to be Pell recipients or students who are eligible for Pell grants in order to receive a financial aid grant.
4. Recipient acknowledges that it may not condition the receipt of such a financial aid grant on continued or future enrollment with the Recipient. Recipient also acknowledges that it may not require a student to consent to the application of the financial aid grant to the student's outstanding account balance with Recipient as a condition of receipt of or eligibility for the financial aid grant.
5. In consideration for this award, Recipient agrees that Recipient holds these grant funds in trust for students and acts in the nature of a fiduciary for students.
6. Recipient acknowledges that the Secretary recommends (a) the maximum Federal Pell Grant for the applicable award year as an appropriate maximum amount for a student's

financial aid grant in most cases, and (b) that the Recipient should consider each student's particular socioeconomic circumstances in the administration of these grants.

7. The Secretary strongly encourages Recipient's financial aid administrator to exercise the use of professional judgment available under HEA section 479A, 20 USC § 1087tt, to make adjustments on a case-by-case basis to exclude individual financial aid grants from the calculation of a student's expected family contribution. The Secretary does not consider these individual financial aid grants to constitute Federal financial aid under Title IV of the HEA.
8. Recipient must notify the Department within 30 days of making a determination that it is required to remit payment to the Internal Revenue Service for the excise tax paid on investment income of private colleges and universities under section 4968 of the Internal Revenue Code of 1986 for tax year 2019 via the Required Notification of Endowment Excise Tax Paid form, pursuant to section 314(d)(6) of the CRRSAA. Recipient acknowledges that if it was required to remit payment to the Internal Revenue Service for this excise tax paid, and if it is not an institution that has been designated as an eligible work college under HEA section 448, 20 USC § 1087-58:
  - a. Recipient must not draw down more than 50% of its total allocation received under CRRSAA section 314(a)(1) (combined Student Aid Portion and Institutional Portion grants under CFDA's 84.425E and 84.425F), unless a waiver of this condition has requested by Recipient and until approved by the Secretary under CRRSAA section 314(d)(6)(B).
  - b. Recipient must use its remaining available funds only for financial aid grants to students consistent with CRRSAA section 314(c)(3), or for sanitation, personal protective equipment, or other expenses associated with the general health and safety of the campus environment related to the qualifying emergency, unless a waiver of this condition has been requested by Recipient and until approved by the Secretary under CRRSAA section 314(d)(6)(B), and subject to other applicable requirements in section 314.

**Grant Administration:**

9. Recipient acknowledges that consistent with 2 CFR § 200.305, it must minimize the time between drawing down funds from G5 and paying incurred obligations (liquidation). Recipient further acknowledges that if it draws down funds and does not pay the incurred obligations (liquidates) within 15 calendar days it may be subject to heightened scrutiny by the Department, Recipient's auditors, and/or the Department's Office of the Inspector General (OIG). Recipient further acknowledges that returning funds pursuant to mistakes in drawing down excessive grant funds in advance of need may also be subject to heightened scrutiny by the Department, Recipient's auditors, and/or the Department's OIG. Finally, Recipient acknowledges that it must maintain drawn down grant funds in an interest-bearing account, and any interest earned on all Federal grant funds above \$500 (all Federal grants together) during an institution's fiscal year must be returned (remitted)

to the Federal government via a process described here:

<https://www2.ed.gov/documents/funding-101/g5-returning-interest.pdf>.

10. Recipient may not charge any indirect or administrative costs to funds made available under this award because the allocation in this grant award represents an amount of funds that must be distributed to students.
11. Recipient acknowledges that any obligation under this grant (pre-award costs pursuant to 2 CFR § 200.458) must have been incurred on or after December 27, 2020, the date of the enactment of the CRRSAA.
12. Recipient must promptly and to the greatest extent practicable distribute all grant funds from this award in the form of financial aid grants to students within the one-year period of performance (2 CFR § 200.77) specified in Box 6 of this Grant Award Notification (GAN).
13. Recipient must, to the greatest extent practicable, continue to pay its employees and contractors during the period of any disruptions or closures related to coronavirus pursuant to section 315 of the CRRSAA.

**Reporting and Accountability:**

14. Recipient must promptly and timely report to the Department on the use of funds no later than 6 months after the date of this award in a manner to be specified by the Secretary pursuant to section 314(e) of the CRRSAA. Recipient must also promptly and timely provide a detailed accounting of the use of funds provided by this award in such manner and with such subsequent frequency as the Secretary may require. Recipient will comply with any other applicable reporting requirements including those in Section 15011(b)(2) of Division B of the CARES Act. Recipient acknowledges the Department may require additional or more frequent reporting to be specified by the Secretary.
15. Recipient must comply with all requirements of the Single Audit Act Amendments of 1996, 31 USC § 7501, et seq. (Single Audit Act) and all applicable auditing standards. Considering that the HEERF grant program is a new program not previously audited or subjected to Department oversight, and the inherent risk that comes with a new program, the Department strongly suggests that the HEERF grant program be audited as a major program in the first fiscal year(s) that the institution received a HEERF grant.
16. Recipient acknowledges it is under a continuing affirmative duty to inform the Department if Recipient is to close or terminate operations as an institution or merge with another institution. In such cases, Recipient must promptly notify in writing the assigned education program officer contact in Box 3. Additionally, Recipient must promptly notify the assigned education program officer if the Recipient's Authorized Representative changes.

17. Recipient must cooperate with any examination of records with respect to the advanced funds by making records and authorized individuals available when requested, whether by (i) the Department and/or its OIG; or (ii) any other Federal agency, commission, or department in the lawful exercise of its jurisdiction and authority. Recipient must retain all financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award for a period of three years from the date of submission of the final expenditure report pursuant to 2 CFR § 200.334.
18. Recipient acknowledges that failure to comply with this Certification and Agreement, its terms and conditions, and/or all relevant provisions and requirements of the CRRSAA or any other applicable law may result in Recipient's liability under the False Claims Act, 31 USC § 3729, et seq.; OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; 18 USC § 1001, as appropriate; and all of the laws and regulations referenced in the "Applicable Law" section of this Certification and Agreement, below.

**Applicable Law:**

19. Recipient must comply with all applicable assurances in OMB Standard Forms (SF) SF-424B and SF-424D (Assurances for Non-Construction and Assurances for Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; nondiscrimination; Hatch Act provisions; labor standards; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders, and regulations.
20. Recipient certifies that with respect to the certification regarding lobbying in Department Form 80-0013, no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or supplementing of Federal grants under this program; Recipient must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," when required (34 CFR part 82, Appendix B).
21. Recipient must comply with the provisions of all applicable acts, regulations and assurances; the following provisions of *Education Department General Administrative Regulations* (EDGAR) 34 CFR parts 75, 77, 81, 82, 84, 86, 97, 98, and 99; the *OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)* in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and the *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.



<b>Institution Name:</b> _____
<b>Authorized Representative (typed name):</b> _____
<b>Authorized Representative Title:</b> _____
<b>DUNS Number:</b> _____
<b>OPE ID:</b> _____
<b>Date:</b> _____

**Paperwork Burden Statement**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1801-0005. Public reporting burden for this collection of information is estimated to average 5 hours per response, including time for reviewing instructions, searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain benefit (Section 314(a)(1) of the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (Pub. L. 116-260)). If you have any comments concerning the accuracy of the time estimate, suggestions for improving this individual collection, or if you have comments or concerns regarding the status of your individual form, application or survey, please contact Karen Epps, 400 Maryland Avenue, SW. Washington, D.C. 20202 directly.

OMB Number: 1801-0005  
Expiration Date: 12/31/2023



# Alabama State University

OFFICE OF THE PRESIDENT

P.O. BOX 271, MONTGOMERY, ALABAMA 36101 • (334) 229-4200

February 15, 2021

Greetings Homet Nation:

We are excited to announce that the University has been awarded the student emergency grant funds for the Coronavirus Response & Relief Supplemental Appropriations Act 2021, Supplemental Agreement (CFDA84.425E)(A)(1) (Student Aid Portion), which was passed by Congress and signed into law. These emergency grants are awarded to help cover unexpected expenses students may have incurred due to the coronavirus pandemic.

The University received \$3,142,232 designated for emergency relief grants for students who are enrolled in the University as of February 26, 2021. The total amount of the funds will be distributed as grants to students who meet the eligibility requirements as set forth by the United States Department of Education in relation to the CARES Act. (Please refer to the attached CRRSAA Student Eligibility information sheet).

Distribution of the grants will start on Monday, March 15, 2021.

For those ASU students who already receive funds through BankMobile either on their Vibe Card or directly into their personal bank accounts, you do not have to do anything. Your grant will be deposited in the same manner as your previous refunds (either onto your BankMobile Vibe account or into your personal bank account.)

If you have lost your BankMobile Vibe card or have never registered with BankMobile, you will need to do the following:

Visit [refundselection.com](https://refundselection.com)

- When you are asked for a code, click on the "Need A Code?" link.
- Follow the steps to receive your code and then enter it as directed.
- Then, follow the directions to select whether you would like your funds to be placed on a BankMobile Vibe account or directly into your personal bank account.

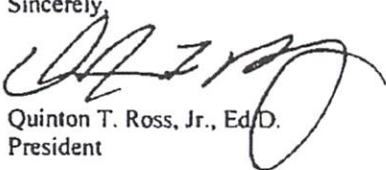
Once you have completed the registration process, the University will be able to deposit your CRRSAA grant funds.

We hope these grants will help to alleviate some of the undue financial burdens that our students are encountering during this pandemic.

If you have any questions or to receive assistance, please forward an email to [caresgrants@alasu.edu](mailto:caresgrants@alasu.edu).

Remember Stay Aware, Stay Safe and Stay Homet Strong!

Sincerely,

  
Quinton T. Ross, Jr., Ed.D.  
President

# CARES ACT

## Coronavirus Response and Relief Supplemental Appropriation Act of 2021 Student Eligibility FAQs

**Q What is the Coronavirus Response & Relief Supplemental Appropriation Act of 2021 (CRRSAA)?**

**A** The CRRSAA Act directs institutions of higher education, such as Alabama State University (ASU), to provide emergency financial aid grants to students for expenses that arise due to coronavirus.

**Q How much money has ASU received for student grants under the CARES Act?**

**A** ASU received \$3,142,232 to award to eligible enrolled students to offset expenses that arise due to coronavirus.

**Q Who is eligible to receive a CARES emergency financial aid grant?**

**A** An ASU student who has demonstrated eligibility to participate in programs under Section 484 in Title IV of the Higher Education Act of 1965, as amended (HEA) by filing a Free Application for Federal Student Aid (FAFSA) for academic year 2020-2021 is eligible to receive an emergency financial aid grant from ASU. Students who did not file a FAFSA are also eligible to receive an emergency financial aid grant from ASU if you are a U.S. citizen or eligible noncitizen; have a valid Social Security number; registered with Selective Service (if the student is male); and have obtained a high school diploma, GED, or completed a high school in an approved homeschool setting.

**Q Who cannot receive an emergency financial aid grant?**

**A** Students who do not have expenses due to coronavirus or a student who was incarcerated during the eligibility period are not eligible to receive an emergency Federal Aid Grant.

**Q What do I need to do to receive my money?**

**A** For those ASU students who already receive their refunds through BankMobile either on their Vibe Card or directly into their personal bank accounts, you do not have to do anything. Your grant will be deposited in the same manner as your previous refunds (either onto your BankMobile Vibe

account or into your personal bank account.)

If you lost your BankMobile Vibe card or you have never registered with BankMobile, you will need to do the following:

- Visit [refundselection.com](https://refundselection.com).
- When you are asked for a code, click on the "Need A Code?" link.
- Follow the steps to receive your code and then enter it as directed.
- Then follow the directions to select whether you would like your funds to be placed on a BankMobile Vibe account or directly to your personal bank account. Once you have completed the registration process, then the University will be able to deposit your CARES grant funds.

**Q Do I have to repay the grant?**

**A** No. The grant money does not have to be repaid.

**Q Do I have to use the grant money toward my student account balance?**

**A** The grant money may be used by students for any component for the cost of attendance or for emergency costs that arise due to coronavirus, such as tuition, food, housing, health care or child care.

**Q Whom do I contact if I have questions about my money or eligibility?**

**A** ASU has created an email account dedicated to questions and concerns regarding the emergency financial aid grants. Please send your questions to [caresgrants@alasu.edu](mailto:caresgrants@alasu.edu).

**Q Is there a form to complete if I do not have a FAFSA on file for this Academic Year(2020-2021)?**

**A** Yes. Please complete the CRRSAA Program for Students that did not file a FAFSA form. The link to the form is [www.alasu.edu/crrsaform](http://www.alasu.edu/crrsaform).

**ASU**

ALABAMA STATE  
UNIVERSITY

[www.alasu.edu](http://www.alasu.edu)

## ASU CRRSAA Program for Students that did not file a FAFSA

The CRRSAA Act created through the US Department of Education provides a financial grant for colleges and universities to award funding to eligible students affected due to the coronavirus. Funds may be used for expenses that arise due to coronavirus. Funding from the grant may be used by students for any component for the cost of attendance or for emergency costs that arise due to coronavirus, such as tuition, food, housing, health care or childcare.

According to the *Frequently Asked Questions about the Emergency Financial Aid Grants to Students under of the Coronavirus Response & Relief Supplemental Appropriation Act 2021 (CRRSAA) Act* developed by the United States Department of Education, a student who has demonstrated eligibility to participate in programs under Section 484 in Title IV of the Higher Education Act of 1965, as amended (HEA), by filing a Free Application for Federal Student Aid (FAFSA) for academic year 2020-2021 is eligible to receive an emergency financial aid grant from ASU. Students who did not file a FAFSA are also eligible to receive an emergency financial aid grant from ASU if you are a U.S. citizen or eligible noncitizen; have a valid Social Security number; registered with Selective Service (if the student is male); and have obtained a high school diploma, GED, or completed a high school in an approved homeschool setting.

Please complete the form and press submit at the bottom of the form. You will be notified as soon as possible concerning an award under this funding.

Last Name	First Name	Middle Initial
Student ID #	Email Address	
Current Address		
City	State	Zip Code
Cell Phone #	Alternate Phone #	

Certification that you are or have the following status or documents: (please check all that apply)

- |   |  |
|---|--|
| <input type="checkbox"/> U.S. Citizen or eligible noncitizen<br><input type="checkbox"/> High school diploma or GED<br><input type="checkbox"/> Enrolled at ASU on February 26, 2021<br><input type="checkbox"/> This is to certify that to the best of my knowledge and belief I am not presently debarred, suspended, proposed for debarment, declared ineligible, or excluded from covered transactions by any Federal department or agency consistent with code of federal regulation (CFR 180.300).<br><input type="checkbox"/> This is to certify that I have the status or documents identified above. I confirm my funding request is directly related to financial hardships resulting expenses that have arisen due to coronavirus. | <input type="checkbox"/> A valid Social Security number<br><input type="checkbox"/> Registered with Selective Service (Male students only)<br><input type="checkbox"/> Enrolled in and attended "for credit classes" |
|---|--|

Alabama State University reserves the right to request additional documentation, if needed, in support of your request.

<b>SUBMIT</b>
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## ALABAMA STATE UNIVERSITY

P. O. BOX 271 • MONTGOMERY, ALABAMA 36101-0217 • 334 / 229 4223, FAX 334 / 229-4948

VICE PRESIDENT FOR BUSINESS AND FINANCE

April 30, 2021

Greetings ASU Students,

This communication is intended to provide a brief update regarding the CRRSAA Grant for Students. May 31, 2021 is the deadline for submittal of all Certifications for Students that did not have a FAFSA on file as of February 15, 2021. Please review all eligibility requirements on the FAQ sheet as well as the letter from the President that was previously emailed when determining whether or not a certification should be submitted.

The link to the form for ASU CRRSAA Program for Students that did not file a FAFSA is below.

[www.alasu.edu/crrsaaform](http://www.alasu.edu/crrsaaform)

Please be reminded that this update is not applicable to students who have already received funding from the Grant.

Sincerely,

A handwritten signature in blue ink, appearing to read "Bill Hopper".

Bill Hopper,

Vice President for Business and Finance

**American Rescue Plan Act of 2021  
Supplemental Agreement (ALN 84.425E) ((a)(1) Student Aid Portion)**

**SUPPLEMENTAL GRANT FUNDS FOR STUDENTS**

The terms, conditions, and requirements governing your institution's (Recipient's) use of these supplemental grant funds awarded pursuant to section 2003 of the American Rescue Plan Act of 2021 (ARP) (Pub. L. 117-2) (supplemental award or grant) by the U.S. Department of Education (Department) are governed by section 2003 of the ARP and section 314 of the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA) (Pub. L. 116-260) and the following terms and conditions of this Supplemental Agreement.

**BY DRAWING DOWN THESE GRANT FUNDS, YOU AGREE TO BE BOUND BY THE CONDITIONS SET FORTH ON BEHALF OF THE INSTITUTION YOU REPRESENT, AND YOU WARRANT THAT YOU HAVE THE AUTHORITY TO BIND THE INSTITUTION TO THE FOLLOWING CONDITIONS:**

**Use of Supplemental Grant Funds:**

1. Section 2003(7) of the ARP requires Recipient, an institution of higher education as defined in section 101 or 102(c) of the Higher Education Act of 1965, as amended (HEA), 20 USC § 1001 or 1002(c), to provide emergency financial aid grants to students in an amount equivalent to the sum of two amounts: 50 percent of the portion of its allocation that is based on formula factors from CRRSAA section 314(a)(1)(A)-(D) and 100 percent of the portion of its allocation that is based on formula factors from CRRSAA section 314(a)(1)(E)-(F). The amount of funds made available by this supplemental award under Assistance Listing Number (ALN) 84.425E represents the minimum amount that Recipient must use for making emergency financial aid grants to students.
2. Under section 2003(7) of the ARP and section 314(c)(3) of the CRRSAA, Recipient must make emergency financial aid grants to students (which may include students exclusively enrolled in distance education), which may be used for any component of the student's cost of attendance or for emergency costs that arise due to coronavirus, such as tuition, food, housing, health care (including mental health care), or child care.
3. Recipient acknowledges that it retains discretion to determine the amount and availability of each individual emergency financial aid grant consistent with all applicable laws, including non-discrimination laws. Recipient acknowledges it must not distribute emergency financial aid grants in a manner that discriminates against individuals on the basis of race, color, national origin, disability, or sex. See, e.g., 42 U.S.C. §§ 2000(c)-(d) (Title VI), 29 U.S.C. § 701 et seq., 20 U.S.C. § 1681 (Title IX).
4. Recipient further acknowledges that under CRRSAA section 314(c)(3), it must prioritize grants to students with exceptional need, such as students who receive Pell Grants.

However, students do not need to be Pell recipients or students who are eligible for Pell Grants in order to receive an emergency financial aid grant.

5. Recipient acknowledges that it may not condition the receipt of an emergency financial aid grant on continued or future enrollment with the Recipient. Recipient also acknowledges that it may not require a student to consent to the application of the emergency financial aid grant to the student's outstanding account balance with Recipient as a condition of receipt of or eligibility for an emergency financial aid grant. Recipient also acknowledges that adding preconditions to receiving a financial aid grant that thwart this requirement may be subjected to oversight and corrective action.
6. In consideration for this award, Recipient agrees that Recipient holds these grant funds in trust for students and acts in the nature of a fiduciary for students.
7. Recipient acknowledges that the Secretary recommends (a) the maximum Federal Pell Grant for the applicable award year as an appropriate maximum amount for a student's emergency financial aid grant in most cases, and (b) that the Recipient should consider each student's particular socioeconomic circumstances in the administration of these grants.
8. The Secretary strongly encourages Recipient's financial aid administrator to exercise the use of professional judgment available under HEA section 479A, 20 USC § 1087tt, to make adjustments on a case-by-case basis to exclude individual emergency financial aid grants from the calculation of a student's expected family contribution. The Secretary has determined that these individual emergency financial aid grants do not constitute Federal financial aid under Title IV of the HEA.
9. Recipient acknowledges that it may voluntarily decline all or a portion of its ARP (a)(1) student funds. The recipient may indicate this by submitting the Voluntary Decline of HEERF form (OMB Control Number 1840-0856) to the Department by August 11, 2021. Recipient further acknowledges if it submits this form, it will be ineligible for the future redistribution of ARP HEERF grant funds to other institutions with greater needs due to the coronavirus.

**Grant Administration:**

10. Recipient acknowledges that consistent with 2 CFR § 200.305, it must minimize the time between drawing down funds from G5 and paying incurred obligations (liquidation). Recipient further acknowledges that if it draws down funds and does not pay the incurred obligations (liquidates) within 15 calendar days it may be subject to heightened scrutiny by the Department, Recipient's auditors, and/or the Department's Office of the Inspector General (OIG). Recipient further acknowledges that returning funds pursuant to mistakes in drawing down excessive grant funds in advance of need may also be subject to heightened scrutiny by the Department, Recipient's auditors, and/or the Department's OIG. Finally, Recipient acknowledges that it must maintain drawn down grant funds in an interest-bearing account, and any interest earned on all Federal grant funds above \$500

(all Federal grants together) during an institution's fiscal year must be returned (remitted) to the Federal government via a process described here:

<https://www2.ed.gov/documents/funding-101/g5-returning-interest.pdf>.

11. Recipient may not charge any indirect or administrative costs to funds made available under this supplemental award because the allocation in this grant award represents the minimum amount of funds that must be distributed to students.
12. Recipient acknowledges that any obligation under this grant (pre-award costs pursuant to 2 CFR § 200.458) must have been incurred on or after March 13, 2020, the date of the declaration of a National Emergency Concerning the Novel Coronavirus Disease (COVID-19) Outbreak ([85 FR 15337](#)).
13. Recipient must promptly and to the greatest extent practicable distribute all grant funds from this award in the form of emergency financial aid grants to students within the one-year period of performance (2 CFR § 200.77) specified in Box 6 of this Grant Award Notification (GAN).
14. Recipient must, to the greatest extent practicable, continue to pay its employees and contractors during the period of any disruptions or closures related to coronavirus pursuant to section 315 of the CRRSAA.
15. Recipient acknowledges that its failure to draw down any amount (\$1 or more) of its supplemental grant funds within 90 days of the date of this supplemental award will constitute nonacceptance of the terms, conditions, and requirements of this Supplemental Agreement and of these supplemental grant funds. In such event, the Department, in its sole discretion, may choose to deobligate these supplemental grant funds or take other appropriate administrative action, up to and including terminating the grant award pursuant to 2 CFR § 200.340.

**Reporting and Accountability:**

16. Recipient must promptly and timely provide a detailed accounting of the use and expenditure of the funds provided by this supplemental award in such manner and with such frequency as the Secretary may require.
17. Recipient must comply with all requirements of the Single Audit Act Amendments of 1996, 31 USC § 7501, et seq. (Single Audit Act) and all applicable auditing standards. Considering that the HEERF grant program is a new program not previously audited or subjected to Department oversight, and the inherent risk that comes with a new program, the Department strongly suggests that the HEERF grant program be audited as a major program in the first fiscal year(s) that the institution received a HEERF grant.
18. Recipient acknowledges it is under a continuing affirmative duty to inform the Department if Recipient is to close or terminate operations as an institution or merge with another institution. In such cases, Recipient must promptly notify in writing the assigned



education program officer contact in Box 3 of the GAN. Additionally, Recipient must promptly notify the assigned education program officer if the Recipient's Authorized Representative changes.

19. Recipient must cooperate with any examination of records with respect to the advanced funds by making records and authorized individuals available when requested, whether by (a) the Department and/or its OIG; or (b) any other Federal agency, commission, or department in the lawful exercise of its jurisdiction and authority. Recipient must retain all financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award for a period of three years from the date of submission of the final expenditure report pursuant to 2 CFR § 200.334.
20. Recipient acknowledges that failure to comply with this Supplemental Agreement, its terms and conditions, and/or all relevant provisions and requirements of the CRRSAA or ARP or any other applicable law may result in Recipient's liability under the False Claims Act, 31 USC § 3729, et seq.; OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; 18 USC § 1001, as appropriate; and all of the laws and regulations referenced in the "Applicable Law" section of this Supplemental Agreement, below.

**Applicable Law:**

21. Recipient must comply with all applicable assurances in OMB Standard Forms (SF) SF-424B and SF-424D (Assurances for Non-Construction and Assurances for Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; nondiscrimination; Hatch Act provisions; labor standards; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders, and regulations.
22. Recipient certifies that with respect to the certification regarding lobbying in Department Form 80-0013, no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or supplementing of Federal grants under this program; Recipient must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," when required (34 CFR part 82, Appendix B).
23. Recipient must comply with the provisions of all applicable acts, regulations and assurances; the following provisions of *Education Department General Administrative Regulations* (EDGAR) 34 CFR parts 75, 77, 81, 82, 84, 86, 97, 98, and 99; the *OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)* in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and the *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.



# ALABAMA STATE UNIVERSITY

P. O. BOX 271 • MONTGOMERY, ALABAMA 36101-0217 • 334 / 229-4223, FAX 334 / 229-4948

VICE PRESIDENT FOR BUSINESS AND FINANCE

## Coronavirus Response and Relief Supplemental Appropriation Act, 2021 (CRRSAA Act)

### \*\* FINAL DISBURSEMENT\*\*

Grant Award Number	P425E203477
Budget Period	05/04/2020 – 12/26/2021
Federal Funding Period	05/04/2020 – 12/26/2021
Current Award Amount	\$3,142,232.00

The University determined student eligibility for the Final Disbursement by the criteria identified below:

- Students enrolled in classes as of February 26, 2021 with a FAFSA on file and enrolled or registered in Summer 2021 courses as of July 8, 2021 with an outstanding balance due to the University.
- Students enrolled in classes as of February 26, 2021 who did not file a FAFSA but previously completed the certification *and* meet the following criteria which includes one additional criteria from the previous disbursement :
  - US citizen or eligible noncitizen
  - A valid social security number
  - Registration with selective service (if male)
  - Enrolled in and attended "for credit classes"
  - High School Diploma, GED, or completion of high school in an approved homeschool setting
  - Enrolled or registered in Summer 2021 courses as of July 8, 2021 with an outstanding balance due to the University

623 students were deemed eligible in this population. \$134,263.70 divided by 623 students which yielded a payment of \$215.51 is the calculation that was used to determine the payment that would be distributed to the eligible population.

Sincerely,

William Hopper  
Vice President for Business and Finance



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VICE PRESIDENT FOR BUSINESS AND FINANCE

***\*\*This letter supersedes the letter emailed on September 24, 2021\*\****

September 29, 2021

**Greetings Hornet Nation:**

We are excited to announce that the University has been awarded additional student emergency grant funds from the American Rescue Plan Act of 2021 (ARPA) (Pub. L 117-2) to supplement the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) (Pub. L. 116-136), the Coronavirus Response & Relief Supplemental Appropriations Act 2021, Supplemental Agreement (CFDA84.425E) (A)(1) (Student Aid Portion), which was passed by Congress and signed into law. These emergency grants are awarded to help to cover unexpected expenses students, especially those with exceptional needs, may have incurred due to the coronavirus pandemic.

The University received \$9,490,815 designated for emergency relief grants for students who are enrolled and validated in the University on or before October 15, 2021. The University is charged with prioritizing the distribution of these funds focusing on students with *exceptional needs*. The total amount of the funds will be distributed as grants to students who meet the eligibility requirements as set forth by the United States Department of Education in relation to the American Rescue Plan Act of 2021. Please refer to the attached ARPA Student Eligibility information sheet in the link below:

[www.alasu.edu/americanrescueplan](http://www.alasu.edu/americanrescueplan)

Students may authorize the University to apply a portion of these funds to their outstanding Fall Semester 2021 balances. Please see the link below with the attached authorized form:

[www.alasu.edu/arpaauthorizationform](http://www.alasu.edu/arpaauthorizationform)

For those ASU students who already receive their funds through BankMobile either on their Vibe Card or directly into their personal bank accounts, you do not have to do anything. Your grant will be deposited in the same manner as your previous refunds (either onto your BankMobile Vibe account or into your personal bank account.)

If you lost your BankMobile Vibe card or you have never registered with BankMobile, you will need to do the following:

- Visit [refundselection.com](https://refundselection.com)
- When you are asked for a code, click on the "Need A Code?" link.
- Follow the steps to receive your code and then enter it as directed.
- Then, follow the directions to select whether you would like your funds to be placed on a BankMobile Vibe account or directly to your personal bank account.

Once you have completed the registration process, then the University will be able to deposit your ARPA 2021 grant funds.

We hope that these grants will help to alleviate any undue financial burdens that our students encountered during the pandemic.

If you have any questions or to receive assistance, please forward an email to [Americanrescueplan@alasu.edu](mailto:Americanrescueplan@alasu.edu).

Remember Stay Aware, Stay Safe and Stay Hornet Strong!

Sincerely



Bill Hopper,  
Vice President for Business and Finance



## ALABAMA STATE UNIVERSITY

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VICE PRESIDENT FOR BUSINESS AND FINANCE

September 24, 2021

### Greetings Hornet Nation:

We are excited to announce that the University has been awarded additional student emergency grant funds from the American Rescue Plan Act of 2021 (ARPA) (Pub. L. 117-2) to supplement the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) (Pub. L. 116-136), the Coronavirus Response & Relief Supplemental Appropriations Act 2021, Supplemental Agreement (CFDA84.425E) (A)(1) (Student Aid Portion), which was passed by Congress and signed into law. These emergency grants are awarded to help to cover unexpected expenses students, especially those with exceptional needs, may have incurred due to the coronavirus pandemic.

The University received \$9,490,815 designated for emergency relief grants for students who are currently enrolled in the University as of the date of this letter. The University is charged with prioritizing the distribution of these funds focusing on students with *exceptional needs*. The total amount of the funds will be distributed as grants to students who meet the eligibility requirements as set forth by the United States Department of Education in relation to the American Rescue Plan Act of 2021. Please refer to the attached ARPA Student Eligibility information sheet in the link below:

[www.alasu.edu/americanrescueplan](http://www.alasu.edu/americanrescueplan)

The *initial* distribution of the grants will begin on Monday, September 27, 2021. Students may authorize the University to apply a portion of these funds to their outstanding Fall Semester 2021 balances, however this would need to be completed by Tuesday, September 28, 2021. Please see the link below with the attached authorized form:

[www.alasu.edu/arpaauthorizationform](http://www.alasu.edu/arpaauthorizationform)

For those ASU students who already receive their funds through BankMobile either on their Vibe Card or directly into their personal bank accounts, you do not have to do anything. Your grant will be deposited in the same manner as your previous refunds (either onto your BankMobile Vibe account or into your personal bank account.)

If you lost your BankMobile Vibe card or you have never registered with BankMobile, you will need to do the following:

- Visit [refundselection.com](https://refundselection.com)
- When you are asked for a code, click on the "Need A Code?" link.
- Follow the steps to receive your code and then enter it as directed.
- Then, follow the directions to select whether you would like your funds to be placed on a BankMobile Vibe account or directly to your personal bank account.

Once you have completed the registration process, then the University will be able to deposit your ARPA 2021 grant funds.

We hope that these grants will help to alleviate any undue financial burdens that our students encountered during the pandemic.

If you have any questions or to receive assistance, please forward an email to [Amerianrescueplan@alasu.edu](mailto:Amerianrescueplan@alasu.edu).

Remember Stay Aware, Stay Safe and Stay Hornet Strong!

Sincerely



Bill Hopper,

Vice President for Business and Finance

# **American Rescue Plan Act Eligibility and Priority Guidelines**

## **A. Eligibility Requirements for Emergency Financial Aid Grants to Students**

1. Enrolled at Alabama State University on or after March 13, 2020
2. Eligible to receive one of the following need based federal programs to demonstrate exceptional need.
  - Pell Grant-Undergraduate students
  - Federal Supplemental Opportunity Grant (FSEOG)-Undergraduate students
  - Federal Workstudy (FWS)-Undergraduate and Graduate
  - Satisfactory Academic Progress (SAP) who would otherwise be eligible based on the above criteria
3. Emergency costs that arise due to coronavirus such as tuition, food, housing, health care and child care to be determined by the student.
4. Priority will be given to domestic undergraduate students by allocating funds to domestic Pell and FSEOG recipients. Then, work study recipients whether graduate or undergraduate not covered by the Pell and FSEOG requirements will be assisted.

## **B. Priority Guidelines**

Priority will be given to exceptional need by one of the following categories.

1. Eligible to receive Pell, FSEOG, or Federal Work study.
2. Inability to pay outstanding balances as a result of hardships caused by Coronavirus and eligible for Pell, FSEOG or FWS as indicated in the eligibility requirements stated in section A number 2.
  - Amount may vary depending on need.
  - The amount to be determined by Student Accounts.
  - Written Authorization required from the student to apply funds to their balance.
  - Failure to authorize funds to be applied to the students balance does not constitute ineligibility to receive funds.